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New Approach to Some Results Related to **Mixed Norm Sequence Spaces**

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Abstract. In this paper, the mixed norm sequence spaces $\ell^{p,q}$ for $1 \le p, q \le \infty$ are the subject of our research; we establish conditions for an operator T_{λ} to be compact, where T_{λ} is given by a diagonal matrix. This will be achieved by applying the Hausdorff measure of noncompactness and the theory of BK spaces. This problem was treated and solved in [5, 6], but in a different way, without the application of the theory of infinite matrices and BK spaces. Here, we will present a new approach to the problem. Some of our results are known and others are new.

1. Introduction and Preliminaries

As usual, let ω denote the set of all complex sequences $x = (x_n)_{n=0}^{\infty}$, ℓ_{∞} the set of all bounded sequences in ω , and $\ell_p = \{x \in \omega \mid \sum_{n=0}^{\infty} |x_n|^p < \infty\}$ for 0 . $The spaces <math>\ell^{p,q}$ were introduced by Kellogg in [7] and further studied by many authors [1–6]; they are

defined for $1 \le p, q < \infty$ by

$$\ell^{p,q} = \left\{ x \in \omega \mid \sum_{m=0}^{\infty} \left(\sum_{n \in I(m)} |x_n|^p \right)^{q/p} < \infty \right\},\tag{1.1}$$

where $I(0) = \{0\}$ and $I(m) = \{n \in N \mid 2^{m-1} \le n < 2^m\}$ for m > 0. They are Banach spaces equipped with the norms

$$||x||_{p,q} = \Big(\sum_{m=0}^{\infty} \Big(\sum_{n \in I(m)} |x_n|^p\Big)^{q/p}\Big)^{1/q},\tag{1.2}$$

and referred to as mixed norm spaces.

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Let us remark that we could generalize our research by replacing dyadic blocks by arbitrary blocks, similarly as in [1]. In that case we would have $I(m) = \{n \in \mathbb{N} \mid k(m) \le n \le k(m+1) - 1\}$ for m = 0, 1, ... where $(k(m))_{m=0}^{\infty}$ is a strictly increasing sequence of integers with k(0) = 0.

If $p = \infty$ or $q = \infty$, the corresponding sum should be replaced by the supremum, that is,

• for $p = \infty$ and $1 \le q < \infty$,

$$\ell^{\infty,q} = \left\{ x \in \omega \mid \sum_{m=0}^{\infty} \left(\sup_{n \in I(m)} |x_n| \right)^q < \infty \right\}$$
(1.3)

with the norm

$$\|x\|_{\infty,q} = \Big(\sum_{m=0}^{\infty} \Big(\sup_{n \in I(m)} |x_n|\Big)^q\Big)^{1/q};$$
(1.4)

• for $1 \le p < \infty$ and $q = \infty$,

$$\ell^{p,\infty} = \left\{ x \in \omega \mid \sup_{m} \left(\sum_{n \in l(m)} |x_n|^p \right)^{1/p} < \infty \right\}$$
(1.5)

with the norm

$$||x||_{p,\infty} = \sup_{m} \Big(\sum_{n \in I(m)} |x_n|^p \Big)^{1/p};$$
(1.6)

• for $p = q = \infty$,

$$\ell^{\infty,\infty} = \left\{ x \in \omega \mid \sup_{m} (\sup_{n \in I(m)} |x_n|) < \infty \right\}$$
(1.7)

with the norm

$$||x||_{\infty,\infty} = \sup_{m} (\sup_{n \in I(m)} |x_n|).$$

$$(1.8)$$

Note that $\ell^{p,p} = \ell^p$ for $1 \le p \le \infty$.

For any two subsets *E* and *F* of ω , the set of multipliers from *E* to *F*, is defined as

$$M(E,F) = \left\{ \lambda = (\lambda_k)_{k=0}^{\infty} \in \omega \mid \lambda x = (\lambda_k x_k)_{k=0}^{\infty} \in F \text{ for each } x = (x_k)_{k=0}^{\infty} \text{ in } E \right\}$$

The following results are of interest for the characterizations of the multipliers $M(\ell^{r_s}, \ell^{u,v})$.

Theorem 1.1. [7, Theorem 1] Let $1 \le r, s, u, v \le \infty$, and define *p* and *q* by

$$1/p = 1/u - 1/r \text{ if } r > u, \qquad p = \infty \text{ if } r \le u,$$

$$1/q = 1/v - 1/s \text{ if } s > v, \qquad q = \infty \text{ if } s \le v.$$

$$M(e^{rs}, e^{u_s v}) = e^{p_s q}$$

Then $M(\ell^{r,s}, \ell^{u,v}) = \ell^{p,q}$.

Further, for $\lambda \in M(\ell^{r,s}, \ell^{u,v})$, let us consider operator $T_{\lambda} : \ell^{r,s} \to \ell^{u,v}$ defined by

$$T_{\lambda}(x) = \lambda x = (\lambda_n x_n)_{n=0}^{\infty} \ (x \in \ell^{r,s}).$$

Kellogg [7] proved that T_{λ} defined in such a way is a bounded linear operator with norm $||T_{\lambda}|| = ||\lambda||_{p,q}$, where r, s, u, v, p and q satisfy the conditions stated in the previous theorem [5]. Actually, $T_{\lambda} : \ell^{r,s} \to \ell^{u,v}$ is a bounded linear operator if and only if $\lambda \in \ell^{p,q}$.

In [5, 6], the authors studied the Hausdorff measure of noncompactness of the operator T_{λ} depending on different cases and found the exact measure or gave estimates for it. They did, however, not make use of any relation between matrix transformations between sequence spaces and bounded linear operators.

Our idea is to give a new approach to the same problem, by using the theory of BK spaces and matrix transformations.

We use the following standard notations.

We write $\mathcal{B}(X, Y)$ for the set of all bounded linear operators between the normed spaces X and Y. If X and *Y* are any subsets of ω , then (*X*, *Y*) denotes the set of all infinite matrices $A = (a_{nk})_{n,k=0}^{\infty}$ that map X into *Y*, that is, $A \in (X, Y)$ if and only if the series $A_n x = \sum_{k=0}^{\infty} a_{nk} x_k$ converge for all n = 0, 1, ... and all $x \in X$, and $Ax = (A_n x)_{n=0}^{\infty} \in Y$ for all $x \in X$. We write $A_n = (a_{nk})_{k=0}^{\infty}$ for the sequence in the *n*-th row of the matrix *A*.

A BK space is a Banach sequence space X with continuous coordinates P_n (n = 0, 1, ...) where $P_n(x) = x_n$ for each sequence $x = (x_k)_{k=0}^{\infty} \in X$. By ϕ we denote the set of all finite sequences. A BK space $X \supset \phi$ is said to have AK if $x^{[m]} = \sum_{k=0}^{m} x_k e^{(k)} \rightarrow x \ (m \rightarrow \infty)$ for every sequence $x = (x_k)_{k=0}^{\infty} \in X$. It is known [4, Example 3.4 (a)] that the space $\ell^{p,q}$ is a BK space with AK for $1 \le p \le \infty$, $1 \le q < \infty$. Also,

the space $\ell^{\infty,q}$ is a BK space for $1 \le q \le \infty$.

We need the following important result.

Proposition 1.2. Let X and Y be BK spaces.

(a) Then we have $(X, Y) \subset B(X, Y)$, that is, every matrix $A \in (X, Y)$ defines an operator $L_A \in B(X, Y)$, where $L_A(x) = Ax$ for all $x \in X$ [10, Theorem 4.2.8].

(b) If X has AK then we have $B(X, Y) \subset (X, Y)$, that is, every operator $L \in B(X, Y)$ is given by a matrix $A \in (X, Y)$, where Ax = L(x) for all $x \in X$ [3, Theorem 1.9].

Hence, we can consider the infinite matrix $A = A(\lambda) = (a_{nk})_{nk=0}^{\infty}$ associated to the operator T_{λ} such that $T_{\lambda}x = Ax$ for all $x \in \ell^{r,s}$ for $1 \le r \le \infty$ and $1 \le s \le \infty$. The matrix A clearly is the diagonal matrix with the sequence λ on its diagonal. It is also clear that $Ax = (\lambda_n x_n)_{n=0}^{\infty}$ for all $x \in \ell^{r,s}$.

As mentioned before, the compactness of operators will be treated by applying the theory of infinite matrices and the Hausdorff measure of noncompactness, so the next definition and results will be very useful for our work.

We recall the definition of the Hausdorff measure of noncompactness of bounded sets in metric spaces and operators between Banach spaces. Let X be a complete metric space and M_X denote the class of bounded subsets of *X*. Then the function $\chi : \mathcal{M}_X \to [0, \infty)$ defined by

 $\chi(Q) = \inf\{\varepsilon > 0 \mid Q \text{ can be covered by finitely many open balls of radii < }\varepsilon\}$

is called the Hausdorff measure of noncompactness; $\chi(Q)$ is called the Hausdorff measure of noncompactness of the set $Q \in \mathcal{M}_X$. Let χ_1 and χ_2 be Hausdorff measures of noncompactness on the Banach spaces X and Y. An operator $L: X \to Y$ is said to be (χ_1, χ_2) -bounded if $L(Q) \in \mathcal{M}_Y$ for all $Q \in \mathcal{M}_X$ and there exists a non–negative real number *c* such that

$$\chi_2(L(Q)) \le c \cdot \chi_1(Q) \text{ for all } Q \in \mathcal{M}_X.$$
(1.9)

If an operator *L* is (χ_1, χ_2) –bounded, then the number

 $||L||_{\chi} = \inf\{c \ge 0 : (1.9) \text{ holds }\}$

is called the Hausdorff measure of noncompactness of *L*.

Theorem 1.3. ([8, Theorem 2.25]) Let X and Y be Banach spaces, $A \in (X, Y)$, and $S_X = \{x \in X \mid ||x|| = 1\}$ and $\bar{B}_X = \{x \in X \mid ||x|| \le 1\}$ denote the unit sphere and closed unit ball in X. Then the Hausdorff measure of noncompactness of the operator L_A , denoted by $||L_A||_{\chi}$, is given by

$$||L_A||_{\chi} = \chi(L_A(\bar{B}_X)) = \chi(L_A(S_X)).$$

Theorem 1.4. ([5, Lemma 2.1]) Let $Q \in \mathcal{M}_{\ell^{p,q}}$ $(p \in [1, \infty], q \in [1, \infty))$, and let $\mathcal{R}_n : \ell^{p,q} \to \ell^{p,q}$ for n = 0, 1, 2, ... be the operator defined by $\mathcal{R}_n(x) = x - x^{[n]}$ for all $x = (x_m)_{m=0}^{\infty} \in \ell^{p,q}$. Then we have

$$\chi(Q) = \lim_{n \to \infty} \left(\sup_{x \in Q} ||\mathcal{R}_n(x)|| \right).$$

Theorem 1.5 (Goldenštein, Gohberg, Markus). ([8, Theorem 2.23]) Let X be a Banach space with Schauder basis $\{e_1, e_2, ...\}$, Q be a bounded subset of X, and $P_n : X \to X$ be the projector onto the linear span of $\{e_1, e_2, ..., e_n\}$. Then we have

$$\frac{1}{a} \limsup_{n \to \infty} (\sup_{x \in Q} ||(I - P_n)x||) \le \chi(Q) \le \limsup_{n \to \infty} (\sup_{x \in Q} ||(I - P_n)x||).$$

where $a = \limsup_{n \to \infty} ||I - P_n||$.

2. Main Results

Let *m* and *n* be non–negative integers. We write $I(m, n) = I(m) \setminus \{0, 1, 2, ..., n\}$ and $\lambda^{(n)} = \mathcal{R}_n(\lambda)$. So the operator $T_{\lambda}^{(n)}$ is associated with the diagonal matrix $A^{(n)}(\lambda)$ which is obtained from the diagonal matrix $A(\lambda)$ by replacing $\lambda_0, \lambda_1, ..., \lambda_n$ by 0.

Theorem 2.1. Let r, s, u, v, p, q be as in Theorem 1.1. Then we have for $\lambda \in \ell^{p,q}$

(i)
$$||T_{\lambda}||_{\chi} = \lim_{n \to \infty} \left(\sum_{m=0}^{\infty} \left(\sum_{k \in I(m,n)} |\lambda_k|^p \right)^{q/p} \right)^{1/q} \text{ if } v < \infty \text{ and } v < s \text{ and } r > u,$$

(*ii*)
$$||T_{\lambda}||_{\chi} = \lim_{n \to \infty} \left(\sum_{m=0}^{\infty} \left(\sup_{k \in I(m,n)} |\lambda_k| \right)^q \right)^{1/q}$$
 if $v < \infty$ and $v < s$ and $r \le u$;

(*iii*)
$$||T_{\lambda}||_{\chi} = \lim_{n \to \infty} \left(\sup_{m} \left(\sum_{k \in I(m,n)} |\lambda_k|^p \right)^{1/p} \right) \text{ if } v < \infty \text{ and } v \ge s \text{ and } r > u;$$

(iv)
$$||T_{\lambda}||_{\chi} = \limsup_{n \to \infty} |\lambda_n|$$
 if $v < \infty$ and $v \ge s$ and $r \le u$;

 $(v) \ 0 \le \|T_{\lambda}\|_{\chi} \le \lim_{n \to \infty} \|\lambda^{(n)}\|_{p,q} \ if \ v = \infty.$

Proof. We write $K = \overline{B}_{\ell^{rs}}$, for short, and denote by *A* the diagonal matrix that represents the operator $T(\lambda)$. First, we consider the case $v < \infty$. The subcases are v < s and $v \ge s$.

• We assume v < s.

If r > u, then we have by Theorem 1.4

$$\begin{split} \|T_{\lambda}\|_{\chi} &= \chi(L_A(K)) = \lim_{n \to \infty} \sup_{x \in K} \|\mathcal{R}_n(Ax)\| = \lim_{n \to \infty} \sup_{x \in K} \|\lambda \cdot x - (\lambda \cdot x)^{[n]}\| \\ &= \lim_{n \to \infty} \sup_{x \in K} \|T_{\lambda}^{(n)}(x)\| = \lim_{n \to \infty} \|\lambda^{(n)}\|_{p,q} \\ &= \lim_{n \to \infty} \Big(\sum_{m=0}^{\infty} \Big(\sum_{k \in I(m,n)} |\lambda_k|^p\Big)^{q/p}\Big)^{1/q}. \end{split}$$

If $r \le u$, then $p = \infty$ and once again we can apply Theorem 1.4, and obtain in the same way as in the previous case

$$\|T_{\lambda}\|_{\chi} = \lim_{n \to \infty} \|\lambda^{(n)}\|_{\infty,q} = \lim_{n \to \infty} \Big(\sum_{m=0}^{\infty} \Big(\sup_{k \in I(m,n)} |\lambda_k|\Big)^q\Big)^{1/q}.$$

• Now we assume $v \ge s$.

The fact that $v \ge s$ means that $q = \infty$. Since v is still less than infinity, we can apply Theorem 1.4 and obtain as in the case v > s the following subcases and corresponding results.

For r > u, we have

$$\|T_{\lambda}\|_{\chi} = \lim_{n \to \infty} \|\lambda^{(n)}\|_{p,\infty} = \lim_{n \to \infty} \Big(\sup_{m} \Big(\sum_{k \in I(m,n)} |\lambda_k|^p\Big)^{1/p}\Big).$$

Similarly, for $r \le u$, we actually obtain $p = q = \infty$, and so

$$||T_{\lambda}||_{\chi} = \lim_{n \to \infty} ||\lambda^{(n)}||_{\infty,\infty} = \limsup_{n \to \infty} |\lambda_n|.$$

We have covered all cases where we were able to apply Theorem 1.4, and established identities for the Hausdorff measure of noncompactness of the operator T_{λ} . In the case of $v = \infty$, we will not be able to apply Theorem 1.4. In this case, we will only give estimates for the Hausdorff measure of noncompactness of the operator. As a consequence of this, we will not be able to give necessary and sufficient conditions for the compactness of the operator T_{λ} as in the case $v < \infty$.

We assume $v = \infty$, and that *K* is unit sphere in $\ell^{r,s}$, $r, s \in [1, \infty]$. We define the operators $\mathcal{P}_n, \mathcal{R}_n : \ell^{u,\infty} \to \ell^{u,\infty}$ (n = 0, 1, ...) by $\mathcal{P}_n(x) = x^{[n]}$ and $\mathcal{R}_n(x) = x - x^{[n]}$ for $x = (x_k)_{k=0}^{\infty} \in \ell^{u,\infty}$. Since $L_A(K) \subset \mathcal{P}_n(L_A(K)) + \mathcal{R}_n(L_A(K))$, it follows from the elementary properties of the function χ [8, Theorem 2.12] that

$$\chi(L_A(K)) \le \chi(\mathcal{P}_n(L_A(K))) + \chi(\mathcal{R}_n(L_A(K))) = \chi(\mathcal{R}_n(L_A(K))) \le \sup_{x \in K} ||\mathcal{R}_n(L_A(x))||$$

Taking into account the special form of the infinite matrix A associated with the operator T_{λ} , we obtain

$$\sup_{x \in K} \|\mathcal{R}_n(L_A(x))\| = \sup_{x \in K} \|\lambda \cdot x - (\lambda \cdot x)^{[n]}\| = \sup_{x \in K} \|T_\lambda^{(n)}(x)\| = \|T_\lambda^{(n)}\| = \|\lambda^{(n)}\|_{p,q}.$$

It is clear that in this case we have $q = \infty$ (either $s = v = \infty$, or $s < v = \infty$). The subcases which can be considered are r > u and $r \le u$; they can be treated in the same way as before. Hence, we obtain $0 \le ||T_{\lambda}||_{\chi} \le \lim_{n \to \infty} ||\lambda^{(n)}||_{p,\infty}$ if $v = \infty$, that is,

$$0 \le ||T_{\lambda}||_{\chi} \le \lim_{n \to \infty} \sup_{m} \left(\sum_{k \in I(m,n)} |\lambda_{k}|^{p} \right)^{1/p}, \text{ if } v = \infty \text{ and } r > u;$$

$$0 \le ||T_{\lambda}||_{\chi} \le \lim_{n \to \infty} \sup_{k \in I(m,n)} |\lambda_{k}|, \text{ if } v = \infty \text{ and } r \le u.$$

All considered cases imply the following corollary by [8, Corollary 2.26 (2.58)].

Corollary 2.2. Let r, s, u, v, p, q be as in Theorem 1.1. Then we have for $\lambda \in \ell^{p,q}$

- (i) T_{λ} is compact if and only if $\lim_{n \to \infty} \left(\sum_{m=0}^{\infty} \left(\sum_{k \in l(m,n)} |\lambda_k|^p \right)^{q/p} \right)^{1/q} = 0$ if $v < \infty$ and v < s and r > u;
- (ii) T_{λ} is compact if and only if $\lim_{n\to\infty} \left(\sum_{m=0}^{\infty} \left(\sup_{k\in I(m,n)} |\lambda_k|\right)^q\right)^{1/q} = 0$ if $v < \infty$ and v < s and $r \le u$;
- (iii) T_{λ} is compact if and only if $\lim_{n \to \infty} \left(\sup_{m} \left(\sum_{k \in I(m,n)} |\lambda_k|^p \right)^{1/p} \right) = 0$ if $v < \infty$ and $v \ge s$ and r > u;
- (iv) T_{λ} is compact if and only if $\limsup_{n \to \infty} |\lambda_n| = 0$ if $v < \infty$ and $v \ge s$ and $r \le u$;

(v) If $\lim_{n\to\infty} ||\lambda^{(n)}||_{p,q} = 0$ and $v = \infty$, then T_{λ} is compact.

Let us remark that in the case when $v = \infty$, we obtain only a sufficient condition for the compactness of the operator T_{λ} . The application of the Goldenštein-Gohberg-Markus theorem only gives sufficient conditions for the compactness of the operator T_{λ} associated with the matrix A when $\ell^{u,v}$ has no Schauder basis. In our paper, that is the case $v = \infty$, that is $T_{\lambda} : \ell^{r,s} \to \ell^{u,\infty}$. But we will give an improvement for a few subcases.

Theorem 2.3. Let r, s, u, v, p, q be as in Theorem 1.1, r', s', u', p', q' be the conjugate numbers of $r, s, u, p, q, v = \infty$ and $s \neq \infty$. Then we have for $T_{\lambda} : \ell^{r,s} \to \ell^{u,\infty}$:

- (i) T_{λ} is compact if and only if $\lim_{n \to \infty} \left(\sup_{m} \left(\sum_{k \in I(m,n)} |\lambda_k|^{p'} \right)^{1/p'} \right) = 0$ if $1 < s' < \infty$ and r' < u';
- (*ii*) T_{λ} is compact if and only if $\limsup |\lambda_n| = 0$ if $1 < s' < \infty$ and $u' \le r'$;
- (iii) If $\lim_{p',q'} \|\lambda^{(n)}\|_{p',q'} = 0$ and s = 1, then T_{λ} is compact.

Proof. We consider the case $v = \infty$. Then $\ell^{u',v'} = \ell^{u',1}$ is a BK space with AK. Hence, for $s < \infty$ we can apply [10, Theorem 8.3.9] and obtain the following: $A \in (\ell^{r,s}, \ell^{u,\infty})$ if and only if $A^T \in (\ell^{u',1}, \ell^{r',s'})$, where A^T is transpose matrix of A. Since $A = A(\lambda)$ is the diagonal matrix with the sequence λ on its diagonal, we have $(A(\lambda))^T = A(\lambda)$. Further, applying [9, Theorem 3], we have that the operator T_{λ} associated with the matrix $A \in (\ell^{r,s}, \ell^{u,\infty})$ is compact if and only if the operator T_{λ}^T associated with the transpose matrix $A^T \in (\ell^{u',1}, \ell^{r',s'})$ is compact. Since $s \neq \infty$, we have that $s' \neq 1$, that is, $1 < s' \leq \infty$. Now, the results follow directly from Theorem 1 and Corollary 2 having in mind the definition of the matrix $A = A(\lambda)$ and the following table:

Before	\mapsto	Now
r	\mapsto	u'
S	\mapsto	1
и	\mapsto	r'
$v = \infty$	\mapsto	s'

The only case which cannot be improved, that is, only a sufficient condition can be defined is when $v = s = \infty$.

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